

EXHIBIT 26

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF DELAWARE

ADVANCED CLUSTER SYSTEMS, INC.,)
Plaintiff,) C.A. No. 19-2032-MN-CJB
v.)
NVIDIA CORPORATION,)
Defendant.)

Tuesday, October 11, 2022
2:00 p.m.

844 King Street
Wilmington, Delaware

BEFORE: THE HONORABLE CHRISTOPHER J. BURKE
United States District Court Judge

APPEARANCES:

SHAW KELLER, LLP
BY: NATHAN R. HOESCHEN, ESQ.

-and-

KNOBBE MARTENS
BY: CHERYL BURGESS, ESQ.

Counsel for the Plaintiff

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1 THE COURT: Good afternoon,
2 everyone. It's Judge Burke here. Why don't we
3 go on the record and let me just say for the
4 record that we're here this afternoon in the
5 matter of Advanced Cluster Systems, Inc., versus
6 Nvidia Corporation, et al. This is civil action
7 number 19-2032-MN-CJB here in our court and
8 we're here today with regard to a discovery
9 dispute that's been raised by the defendants and
10 by third-party Knobbe, Martens, Olsen & Bear,
11 LLP for today. Before we go further, let's have
12 counsel for each side identify themselves for
13 the record. We'll start first with counsel for
14 the plaintiff, who I believe is also going to be
15 counsel for our third party and we'll begin
16 there with Delaware counsel.

17 MR. HOESCHEN: Good morning, Your
18 Honor. Nate Hoeschen here from Shaw Keller on
19 behalf of plaintiffs and third party contact
20 Knobbe Martens. With me on the line is Cheryl
21 Burgess from Knobbe Martens.

22 THE COURT: All right. Good to be
23 with you all again. And we'll do the same for
24 counsel for defendant's side, again beginning

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1 APPEARANCES CONTINUED:

2 DLA PIPER

3 BY: STEPHANIE O'BYRNE, ESQ.

4 BY: CARRIE WILLIAMSON, ESQ.

5 BY: PETER NELSON, ESQ.

6 Counsel for the Defendant

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1 with Delaware counsel.

2 MS. O'BYRNE: Good afternoon, Your
3 Honor. Stephanie O'Byrne with DLA Piper for
4 Nvidia. I'm joined by my co-counsel, Carrie
5 Williamson and Peter Nelson from DLA Piper.
6 Also on the line is Sara Moore who is senior
7 litigation counsel for Nvidia. With Your
8 Honor's permission, Mr. Nelson will argue the
9 remaining pieces of today's motion.

10 THE COURT: Okay. Thank you,
11 counsel. And as was noted, parties raised a
12 number of different disputes with regard to
13 proposed rule 30(b)(6) deposition topics that
14 have been put forward by defendants for Knobbe
15 to testify about. And the Court resolved a
16 couple of those disputes prior to our call
17 today, but a number of the topics with regard to
18 Knobbe still are at issue, so we'll take up
19 those kind of in order. And I think the topics
20 that are still at issue are topics 2, 3, 8A, 9,
21 10 and 13, I think. So we'll touch base on
22 those and get the parties involved and I'll try
23 to make a decision.

24 Let me -- I'll turn first to
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1 Knobbe's counsel and Ms. Burgess are you going
 2 to be taking this for your side?

3 MS. BURGESS: Yes, Your Honor.

4 THE COURT: Okay. And I think the
 5 way the parties kind of argued about the topics
 6 they kind of put together topics 2, 9, 10 and
 7 13, because there was some arguments about those
 8 that were kind of similar, although each of the
 9 topics are a little different. Maybe we can
 10 kind of start with that group and deal with
 11 them. And I guess maybe to start, I know
 12 through your letter a theme is, look, you know,
 13 okay, these topics, maybe some are relevant,
 14 maybe we think some are overbroad, but beyond
 15 that, they're seeking this information from a
 16 law firm, you know, and that's kind of like
 17 that's a little bit beyond the pale. And I
 18 gather too, you're saying that because it's not
 19 disputed that two attorneys from Knobbe who I'm
 20 assuming did a fair amount of prosecution
 21 related work on the U.S. family, including the
 22 asserted patents, are going to testify. But I
 23 guess just as to the threshold issue of is it
 24 okay for a defendant to seek information that is

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1 in some way related to say the asserted patent
 2 in the case from the firm that prosecuted that
 3 patent and/or others that are related? How come
 4 it's not potentially okay? I mean, my guess is
 5 probably, is it unusual outside the inequitable
 6 conduct context to be looking to the prosecution
 7 firm for relevant evidence, but if lawyers there
 8 have relevant evidence, isn't it okay to seek it
 9 from a firm?

10 MS. BURGESS: Your Honor, in the
 11 context of the topics that they've actually
 12 served, it's not -- it's not just in the
 13 abstract can you get relevant information from a
 14 firm. I don't know that there's any law that
 15 says no, you cannot in any event get relevant
 16 information from a prosecuting law firm. But I
 17 think you have to look at the topics as written
 18 and consider whether they're relevant and
 19 proportional under rule 26(b)(1) and whether
 20 they're reasonably particular under rule
 21 30(b)(6). And so Knobbe's issue with the topics
 22 that are still in dispute are the relevant
 23 proportionality and particularity with which the
 24 topics have been drafted.

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1 THE COURT: Okay. Fair enough.

2 Then let's go into the topics. And I guess
 3 maybe actually just stepping back once more, Ms.
 4 Burgess, the other subpoenas were to the two
 5 individual attorneys who I guess are Mr. Smemoe
 6 and Mr. Cannon. From what I can read from the
 7 briefs, it's hard to tell for sure, but I'm just
 8 guessing like maybe those two folks did a good
 9 amount of the prosecution work, but defendants
 10 are saying that like a bunch of other lawyers at
 11 Knobbe also did prosecution related work on
 12 these patents and the defendants are suggesting
 13 so this would be easier to just do a 30(b)(6)
 14 depo of the firm to try to capture the rest of
 15 the lawyers' knowledge instead of having to
 16 depose everybody. Is that what's going on here
 17 is that the two lawyers are going to testify
 18 individually, they did a lot of the work, but
 19 other lawyers did some too?

20 MS. BURGESS: Yes, Your Honor. So
 21 you're correct. It's two attorneys that they've
 22 individually noticed are individuals who did
 23 the, you know, the bulk of the prosecution work.
 24 And to clarify, there's one of the topics

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1 relates to what they call the MGT patent and so
 2 one of the attorneys was primarily in the
 3 prosecution of the MGT patent and then the other
 4 attorney was primarily involved in the
 5 prosecution of the patent in suit and some of
 6 the related family members. And I think it's
 7 worth noting, though, that the topics as written
 8 are not limited to Knobbe attorneys involved in
 9 the prosecution of the patent in suit or even
 10 the related patents. You know, to an extent
 11 that there's any boundaries on what is a related
 12 patent, I think Nvidia's definition of related
 13 is really broad and broad in a lot of irrelevant
 14 patents. But even assuming that you can
 15 understand what the boundaries were around the
 16 relevant patents, it's not limited to attorneys
 17 who are involved in prosecution of those
 18 particular patents.

19 THE COURT: Understood. But
 20 unless, Ms. Burgess -- we'll hear from the
 21 defendant's side in a little bit and they'll be
 22 able to jump in then and tell me if anything I'm
 23 about to say is wrong. But here's what I
 24 understood them to be suggesting or hinting in

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1 their letter, which is, sure, we said related
 2 patents, but actually we're happy to narrow and
 3 all we're talking about here, what we're calling
 4 the U.S. family, which is basically the asserted
 5 patent, the three other patents that were
 6 previously asserted and then three listed patent
 7 applications, so we're talking about 7 patents
 8 and/or applications. And I think on the other
 9 point you raised, I think they were saying
 10 something like, no, of course, no, we're not
 11 talking about having to prepare a witness to
 12 testify about all 300 lawyers' knowledge about
 13 subject X. We were just really talking about
 14 the other grouping of Knobbe lawyers who are not
 15 Mr. Smemo and Mr. Cannon, like their collective
 16 knowledge. If they were meaning to frame the
 17 patents that way and, you know, kind of who
 18 counts in terms of knowledge that way, would
 19 that be a little better for you?

20 MS. BURGESS: Your Honor, that
 21 would certainly help. That's not something that
 22 has ever been expressed directly to us, that
 23 they would be willing to narrow in that manner,
 24 but it doesn't get a hundred percent of the way

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1 there and I just wanted to give a couple
 2 examples of why the topics are still
 3 problematic, even if you can identify a smaller
 4 subset of patents, but the topics themselves are
 5 still overbroad. For example, topic 9 still
 6 talks about prior art known to you related to
 7 the asserted patent and related patents. So
 8 known to you means known to Knobbe and we're
 9 talking about things related to those patents.
 10 And the definition of related is extremely broad
 11 and would include anything that references or
 12 has any relevance to. So for example, for topic
 13 9, that would mean any prior art known to any of
 14 the attorneys at Knobbe Martens related to the
 15 asserted patents and related patents, which
 16 could mean investigating every patent out there
 17 that makes reference to or includes within an
 18 IDF any of the patents and then determining
 19 whether any of the 300 attorneys at Knobbe
 20 Martens has knowledge of those and the dates
 21 that they became knowledgeable.

22 THE COURT: Right. And again, Ms.
 23 Burgess, for our hypo, let's assume that they're
 24 going to say, when I talk to defendant's side,

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1 we're not talking about 300 attorneys at Knobbe.
 2 We really mean, like as far as we understand,
 3 there were seven other Knobbe lawyers who worked
 4 on prosecution, we're talking about them. I'm
 5 just making seven up, but whatever the number
 6 is, like the people beyond Mr. Smemo and Cannon
 7 that worked on prosecution. Assume it's that,
 8 not the 300. And assume it's not the broad
 9 definition of related patents in the subpoenas.
 10 Assume it's just the U.S. family as is described
 11 on page 2 of defendant's letter. Even still,
 12 though, what you're saying is, look, so take
 13 like, you know, any piece of prior art in some
 14 way related, I don't know, one of the
 15 provisional applications or one of the now
 16 non-asserted patents that are at issue. That
 17 could be anything and, you know, I think you're
 18 saying absent a much clearer articulation of how
 19 it is that certain prior art is relevant to the
 20 asserted patent that's at issue in the case,
 21 that's just too broad. Is that a fair kind of
 22 summary of what you're saying as to topic 9?

23 MS. BURGESS: Yes, Your Honor.
 24 That's a fair characterization for topic 9 and I

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1 could go through some other examples, but I
 2 think that's a good example of why the topics
 3 are still overbroad, even if you redefine you to
 4 refer to the Knobbe attorneys involved in
 5 prosecution of the asserted patents and direct
 6 families. So even if we rewrote you to include
 7 just those individuals, I think the topic's
 8 still overbroad.

9 THE COURT: And I gather with
 10 regard to topic 2, you would say the same about
 11 the word prosecution of, pretty broad?

12 MS. BURGESS: Yes, Your Honor. We
 13 think prosecution of is very broad and doesn't
 14 state with reasonable particularity what about
 15 the prosecution is relevant to any claim or
 16 defense in the case and what in particular are
 17 the topics that Nvidia wants us to investigate
 18 and prepare a witness on.

19 THE COURT: And then, you know,
 20 when defendants had to explain why are these
 21 other, you know, why are the other patents at
 22 issue here or patent applications at issue other
 23 than the asserted patent relevant, they try to
 24 do so on pages 1 and 2 of their initial letter

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1 and they pointed to a couple of things. Now,
 2 one thing they pointed to was the fact that, in
 3 your willfulness allegations against them you
 4 cite to certain of the provisional applications
 5 by way of explanation of how they might have had
 6 knowledge of the asserted patent. I'm not sure
 7 I understand how your allegations about the
 8 defendant's willfulness makes testimony of
 9 Knobbe attorneys who were prosecuting the
 10 patents for the plaintiff particularly relevant
 11 here, but otherwise they did reference a dispute
 12 about at least the filing date of the asserted
 13 patent and they noted that you have, as evidence
 14 about the filing date, cited to certain of the
 15 other U.S. family members. So like, so that was
 16 one piece and then the other thing they noted
 17 was that in your supplemental initial
 18 disclosures you made reference to other of the
 19 U.S. family patents. I don't know if you did
 20 that at a point in which those patents were
 21 actually still asserted in the case, but how
 22 about at least with regard to the filing date,
 23 what's the right filing date here? Haven't they
 24 shown how at least some testimony about some of

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14
 1 the U.S. family members other than the asserted
 2 patent could be relevant to that issue?
 3 MS. BURGESS: Well, Your Honor, it
 4 is not clear to me and Nvidia hasn't explained
 5 how claiming priority to an earlier family
 6 member makes the prosecution of that earlier
 7 family member relevant. I don't see the
 8 connection there. I don't think Nvidia's brief
 9 does that, but they are correct in that the
 10 asserted patent is a later filed continuation.
 11 Actually it's a continuation of I believe a
 12 continuation in part of the earlier filed
 13 utility application. But the prosecution of
 14 those earlier applications in the chain of
 15 family members is not relevant to whether the
 16 later filed patent is entitled to priority. The
 17 legal analysis for priority -- I guess I'm not
 18 clear what in the prosecution would be relevant
 19 to that legal analysis of priority and what
 20 priority --

21 THE COURT: I take your point
 22 there is about again back to the use of the
 23 words prosecution of, again, and the breadth of
 24 those words in the topic. I'm just trying to

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1 figure out like can it be said that any of these
 2 other U.S. family members, including these
 3 provisional applications could be relevant at
 4 all, you know, to the claims and defenses at
 5 issue with regard to the asserted patent? And I
 6 guess I was thinking to myself, well, I don't
 7 know, maybe like if instead of saying the
 8 prosecution of the asserted patent and related
 9 patents they had said like, information about
 10 facts relating to the priority date of the
 11 asserted patent vis-a-vis the other U.S. family
 12 members, think there might be certain factual
 13 information, you know, like, I don't know, like
 14 similarities and differences between what's
 15 described in those provisional applications and
 16 what's described in the asserted patent, it
 17 could be relevant to at least an issue in the
 18 case. Is that potentially fair?

19 MS. BURGESS: Your Honor, that's
 20 fair. Again, I don't think we can categorically
 21 say that there's not going to be some more
 22 particularized topics that could have some
 23 relevance to an issue in the case. The problem
 24 is we don't know what that specific topic is

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1 and, you know, I think the burden is on Nvidia
 2 to serve requests that are reasonably
 3 particular, that are understandable, where we
 4 can understand what these actual matters are for
 5 examination, so we can prepare somebody. And it
 6 seems like that policy to let them serve this
 7 overbroad, vague, you know, kind of like throw
 8 the spaghetti at the wall and see what sticks
 9 and then let them resort to the court trimming
 10 it back to something that everybody can
 11 ultimately agree has some level of relevance.

12 THE COURT: Got it. And just to
 13 finish out these four topics that were kind of
 14 grouped together in the briefing, topic 10 has
 15 to do with Knobbe's policies relating to patent
 16 prosecution. Sitting here I can't figure out
 17 how that's relevant to the asserted claims or
 18 defenses at issue in the case related to the
 19 asserted patent. I'm assuming you cannot as
 20 well. Topic 13 has to do with assessments of
 21 the value of dot, dot, dot. It does say the
 22 value, strength and benefit of the asserted
 23 patent. I could see like maybe if Knobbe
 24 attorneys did have information about facts

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1 relating to the asserted patent and its asserted
 2 value, that could be relevant to damages it
 3 seems like. I don't know if you'd be able to
 4 get that info through privilege objections, but
 5 at least as to the asserted patent I could see
 6 how topic 13 might be relevant to the claims or
 7 defenses at issue in this case relating to the
 8 asserted patent. Do you disagree there?

9 MS. BURGESS: No, Your Honor.
 10 Again, I think we can come up with some more
 11 refined examples that could fall within the
 12 broad umbrella of this topic for something that
 13 could potentially be relevant. Again, I think,
 14 you know, it could have to be significantly
 15 paler. For example, the reference to you refers
 16 to every Knobbe attorney and so analyzing
 17 whether any Knobbe attorney has some kind of,
 18 you know, has made any assessment of the value,
 19 strength or benefit of any related patents,
 20 right? I think limiting to the asserted patent
 21 might be helpful. When you're talking about
 22 related patents, again, that's fairly broad so
 23 where's the line for related patents and how do
 24 you determine what attorneys of the 300

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18
 1 attorneys assigned at the firm have knowledge of
 2 related patents or have done assessments of the
 3 value, strength and benefits of those patents.

4 THE COURT: Ms. Burgess, anything
 5 more you want to say about these four topics 2,
 6 9, 10 and 13, knowing we'll come back to the
 7 others that we haven't discussed.

8 MS. BURGESS: So I think I just
 9 wanted to touch on, you know, a couple of the
 10 relevance arguments made in the sur reply that I
 11 don't think we have talked about yet in terms of
 12 Nvidia has indicated that have an inequitable
 13 conduct defense and I think ACS would dispute
 14 that. It looks like Nvidia has tried to
 15 shoehorn inequitable conduct somehow into their
 16 interrogatory response related to unclean hands.
 17 We're not in agreement that this is properly
 18 pleaded. Let's set that aside for now. That's
 19 not the issue before us.

20 THE COURT: Ms. Burgess, is there
 21 a -- I'm assuming there is not currently in the
 22 case an answer in which the defendants actually
 23 plead facts about the defense of inequitable
 24 conduct. I took that as a given from your

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1 letters, but is that correct?

2 MS. BURGESS: That is correct,
 3 Your Honor.

4 THE COURT: Okay. Go ahead.
 5 Please continue.

6 MS. BURGESS: So even assuming
 7 that it was somehow pled as a defense, we don't
 8 think it is, but let's just set that aside,
 9 there's no explanation as to how the topics that
 10 are at issue now are relevant even if you take
 11 those defenses as expressed in this unclean
 12 hands interrogatory response. The interrogatory
 13 response identifies certain acts or failures by
 14 one of the named inventors to disclose certain
 15 prior art. That doesn't have anything relating
 16 to the actions or failures by the prosecuting
 17 attorneys or Knobbe Martens generally, so
 18 there's nothing tying their topics to the
 19 defense as expressed in their interrogatory
 20 response.

21 And then the same thing goes with
 22 respect to inventorship. That's another defense
 23 that they've claimed somehow makes these topics
 24 relevant. But again, there's the inventorship

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1 issue has to do with, you know, of course the
 2 inventorship on the asserted patent, but what
 3 they're seeking is inventorship or they're
 4 seeking to explore the prosecution of the MGT
 5 patents. This is a different patent family that
 6 may share some inventors, but they don't ever
 7 explain how the inventorship of the asserted
 8 patent relates to prosecution of this, the other
 9 unrelated patents, how the prosecution of these
 10 unrelated patents somehow relates to their
 11 inventorship defense and why inventorship of the
 12 asserted patent is allegedly incorrect.

13 THE COURT: Okay.
 14 MS. BURGESS: So I wanted to touch
 15 on those two topics that we had not yet
 16 discussed.

17 THE COURT: All right. Thank you,
 18 Ms. Burgess. Let me turn to defendant's side
 19 and I think -- is it Mr. Nelson who is going to
 20 be taking these for defendant's side?

21 MR. NELSON: Yes your honor.
 22 THE COURT: Okay. Mr. Nelson,
 23 maybe you could start -- obviously I think the
 24 plaintiff and to some extent me have had

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1 questions about the facial breadth of the topics
 2 at issue, everything from what patents are they
 3 meant to cover, is it really meant to cover the
 4 combined knowledge of 300 attorneys at Knobbe
 5 Martens, some broad sounding words like prior
 6 art and prosecution, et cetera, et cetera. It
 7 might make sense for you to start, as it relates
 8 to these four topics, to do whatever you want to
 9 do or were prepared to do to say no, look, yes,
 10 facially the subpoena as issued may have covered
 11 X, but I'm here today to tell you that, Judge,
 12 what we're really focused on is more narrow and
 13 that relates to A, B, C and D. Is there
 14 anything you want to say to start things off in
 15 that regard?

16 MR. NELSON: Sure thing. Your
 17 Honor, to start, we made it very clear to Knobbe
 18 from the start that while their concerns related
 19 to the words related patents may be justified in
 20 the abstract, we've explained specifically, I
 21 could point you to the e-mail correspondence,
 22 which you may have reviewed, we expressly
 23 stated, as I believe you commented earlier, we
 24 are seeking information related to the asserted

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1 patent and all of its parents and the
 2 provisional applications beforehand. We are
 3 also seeking information related to the patents
 4 filed on a piece of technology that was the
 5 precursor to the supposed technology related to
 6 the asserted patent. And that is covered -- we
 7 specifically stated exactly what patents were
 8 covered in that. So the concern related to the
 9 so called breadth of the word related patent is
 10 frankly not justified. And the -- the
 11 continuing to harp on that issue is a bit
 12 disingenuous given that we have expressly stated
 13 what patents fall within the definition of
 14 related patents.

15 THE COURT: So Mr. Nelson, just to
 16 summarize, you're really focused on the 7
 17 patents and/or patent applications that you have
 18 collectively defined as U.S. family on pages 1
 19 and 2 of your letter which includes the asserted
 20 patents, three other patents that were formerly
 21 asserted and then three provisional patent
 22 applications, am I right?

23 MR. NELSON: Yes, Your Honor. And
 24 if it would help, we expressly stated that in

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1 exhibit 5 to Knobbe's opening letter. I can
 2 point you to that page if it would help.

3 THE COURT: Okay. What about, you
 4 know, the 30(b)(6) depo of the entire firm?
 5 Plaintiff's -- Knobbe's counsel keeps saying got
 6 to prepare for the collective knowledge of 300
 7 people. Is that right or do you have something
 8 else in mind?

9 MR. NELSON: Your Honor, I
 10 couldn't even sarcastically ask them to do that.
 11 And that's something we explained during the
 12 meet and confer. At least I thought we did.
 13 Again, this seems like an issue that is being
 14 brought up mostly to kind of blow the proportion
 15 of this out of scope to try and -- maybe to
 16 shine a better light for Knobbe on this issue.
 17 That was not something that we stated. We
 18 stated that the main reason we asked for the
 19 firm was, as Your Honor pointed out, the two
 20 attorneys we subpoenaed individually as
 21 30(b)(1), those were the two that signed off on
 22 the prosecution. That does not necessarily mean
 23 that they did all the work and frankly it's
 24 unlikely they did all the work. There are some

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1 other attorneys that were involved and so our
 2 request is that those -- we're presuming that
 3 those two attorneys are going to be the ones who
 4 are going to be designated 30(b)(6) and we ask
 5 that they prepare -- they basically just go
 6 through the files of those patent applications,
 7 the families and be prepared to testify about
 8 that, because it's -- I suspect that the
 9 internal files they have are going to be for
 10 those families and it's going to be some of the
 11 information collected by those signing attorneys
 12 and anybody who worked with them. So we're
 13 certainly not asking for 300 attorneys,
 14 collective knowledge of 300 attorneys. That
 15 would be insane.

16 THE COURT: Any idea how many
 17 Knobbe attorneys other than the two who are
 18 going to be individually deposed in this case
 19 worked on the prosecution of these seven patents
 20 or patent applications?

21 MR. NELSON: That has not been
 22 told to us, Your Honor.

23 THE COURT: Okay. But whatever
 24 the number is, is what you're thinking of?

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1 MR. NELSON: Yeah. Again,
 2 whenever this issue was brought up, the response
 3 was immediately that this request involves 300
 4 attorneys and that's unreasonable. We were
 5 never told how many attorneys would actually
 6 need to be talked to or how many attorneys
 7 potentially would be involved with this, but
 8 it's certainly not 300.

9 THE COURT: Okay. From there,
 10 we're looking at the particular topics that
 11 we've been discussing, 2, 9, 10 and 13. Maybe
 12 we can start with 2. As I was suggesting, I
 13 could maybe see how you've demonstrated that,
 14 via your letter, some factual information about
 15 the '908 provisional and/or maybe the '573
 16 provisional could be relevant to the issue at
 17 play in this case of what's the appropriate
 18 filing date that the asserted patent is entitled
 19 to. If that was one issue I could say, okay,
 20 the asserted patent is the patent that's left in
 21 this case, the defendants have, I think,
 22 explained to me why if you had information about
 23 facts relating to these provisional
 24 applications, that could be relevant to an issue

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1 at play in the case regarding the filing date.
 2 But beyond that, though, you're asking for just
 3 all the information about the prosecution of
 4 these seven patents or applications. What
 5 beyond, you know, the right filing date for the
 6 asserted patent have you demonstrated is
 7 relevant with regard to these other patents and
 8 patent applications regarding this case?

9 MR. NELSON: Of course. So Your
 10 Honor, to begin with, the prosecution history of
 11 the asserted patent technically consists of the
 12 prosecution history of the '768 Patent and all
 13 of its parents. By definition it does consist
 14 of all of its parents and parent applications.
 15 So at the end of the day, even if we either
 16 technically just say the '768 Patent, the
 17 asserted patent, the prosecution history of that
 18 '768 Patent technically encompasses all of the
 19 parent applications including these provisional
 20 applications.

21 Secondly, Your Honor, this is
 22 something we explained on the meet and confer.
 23 There were a lot of examiner interviews,
 24 throughout the history of the examination of all

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1 the parents and the asserted patent. There were
 2 other potential issues related to the responses
 3 and what the prosecution attorney said in
 4 certain responses that would have implications
 5 on the construction of the '768 Patent, as I
 6 said, because the asserted patent prosecution
 7 history consists of both the direct prosecution
 8 history for the '768 Patent and all of its
 9 parents' applications.

10 THE COURT: I mean, I guess maybe
 11 what I'm asking, though, is, you know, like in
 12 this litigation there's one asserted patent and
 13 that's the '768, am I right?

14 MR. NELSON: There is one asserted
 15 patent, to which -- through which -- which is
 16 claiming priority to numerous other patents
 17 which were previously asserted but are no longer
 18 asserted in this litigation.

19 THE COURT: And I guess I'm just
 20 trying to, you know -- if the plaintiff says or
 21 Knobbe says, look, is every single fact about
 22 the prosecution of U.S. provisional patent
 23 application numbers dot, dot, dot, ending in
 24 '738 relevant to what's going on in this

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1 litigation about the '768 patent? We say no, it
 2 can't be, it's just got to be too broad. And if
 3 the defendant responds and says, you know, no,
 4 we can ask any question about any fact that has
 5 anything to do with the prosecution of
 6 provisional application number '738 or U.S.
 7 patent number '289 or the provisional ending in
 8 '573, yeah, any single fact that we want to ask
 9 about about any one of those six other patents
 10 or patent applications is automatically relevant
 11 to what's going on in this case which is about
 12 the '768. Is that your position?

13 MR. NELSON: Not necessarily, Your
 14 Honor. We believe that the facts -- so from our
 15 perspective, we are concerned about two things.
 16 One, the prosecution history is long. So if one
 17 of those 30(b)(6) witnesses would get up again,
 18 there's a good chance that they're not going to
 19 remember what happened for the provisional or
 20 for the first patent application that was filed.
 21 What we're asking for is for them to go back and
 22 go through what we're assuming is the file for
 23 that prosecution. We're not asking them to go
 24 out to the firm and find everything for every

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1 other attorney. We're asking them to go through
 2 the files associated with that family, with the
 3 prosecution of that family and be prepared to
 4 testify about that, because there is a concern
 5 that they would either not remember or --
 6 especially considering that the prosecution
 7 attorneys are partners of the law firm that have
 8 contingency interest in this case, they will
 9 not -- they will not be willing to speculate if
 10 they're not sure of something and we just want
 11 to make sure that okay, go back and review the
 12 history so that you're not speculating, you
 13 know, everything, you can answer the question.

14 THE COURT: But there must be
 15 aspects of the prosecution of these other
 16 patents or applications -- you know, in other
 17 words, if the plaintiff was asserting or Knobbe
 18 was asserting, and if I was inclined to agree,
 19 yeah, the way it's written is just too broad,
 20 the burden it would place on a firm, even if
 21 we're not talking about the whole firm, just a
 22 number of other attorneys to be prepared for
 23 every fact that in any way was relevant to the
 24 prosecution of each of these six other

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 1 applications or patents, if I was concerned that
 2 was too broad, I'm sure it must be that in your
 3 minds the defendants have some topics that they
 4 think they're particularly interested in asking
 5 about and there's probably -- there's got to be
 6 some happy medium where you don't have to give
 7 away everything about the nature of the
 8 questions you're going to ask. And then on the
 9 other hand, you probably could, I'm guessing,
 10 narrow things down a bit by saying, look, you
 11 should be prepared on these aspects of the
 12 prosecution of these six other patents or
 13 applications. Couldn't defendants do that? I'm
 14 assuming you could, right?

15 MR. NELSON: Yeah, Your Honor. If
 16 we need to narrow it, I think we would be -- and
 17 I thought we made this clear, that we're talking
 18 about the prosecution history. So if we were to
 19 narrow it, a fair narrowing is typically
 20 everything related to the prosecution history.
 21 In other words, the things that were filed, the
 22 things that were received, the examiner
 23 interviews and I think some of the other issues
 24 were, again, ignoring the 300 attorney aspect,

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1 the prior art known, related to the asserted
 2 patents as in topic number 9, we're not -- the
 3 general idea with this one, I think again, I
 4 thought we made this clear during the meet and
 5 confer, was we're mainly interested in the
 6 specific pieces of prior art that we have been
 7 discussing in this litigation. And then that
 8 was kind of the reason why we mentioned some of
 9 those in separate topics.

10 THE COURT: Do you have those
 11 pieces of prior art in your mind? Like, are we
 12 talking about seven pieces of prior art or 27 or
 13 100. Like, what more can you tell me, when you
 14 say the piece of prior art we've been talking
 15 about in this litigation, how broad is that?

16 MR. NELSON: We're talking about
 17 the pieces of prior art that were mentioned in
 18 our final invalidity contentions. I mean, I'm
 19 trying to see if -- I mean, for example, Your
 20 Honor --

21 THE COURT: I'm not trying to put
 22 you on the spot for an exact number. General
 23 sense, are we talking about, you know, talking
 24 about a lot or a little or something in between?

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1 MR. NELSON: We're not talking
 2 about many. I think we're talking about 10 to
 3 15 specific pieces of prior art generally. I
 4 mean, some of those pieces of prior art are, I
 5 mean, are large, but at the end of the day,
 6 we're not talking about a massive number of
 7 things. We're talking about, again, going
 8 through the file history or the internal Knobbe
 9 file history, going through them and saying,
 10 okay, we -- were we aware of this, was this
 11 disclosed, why was this not disclosed, do we
 12 believe it was relevant, if we didn't believe it
 13 was relevant, why didn't we. That kind of
 14 stuff.

15 THE COURT: With regard to topic
 16 10, what does Knobbe prosecution policies or
 17 practices have to do with claims and defenses at
 18 issue in this case as to the asserted patent?

19 MR. NELSON: Well, for one it has
 20 to do with -- kind of going back to the
 21 disclosure aspect of what was known and/or --
 22 what was known, disclosed and/or not disclosed.
 23 It has to do with the -- I think you could give
 24 a broad aspect. If we were to ask them, did you

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1 tell -- if we were to ask a prosecuting
 2 attorney, did you tell either of the inventors
 3 X, Y or Z, they would have -- they would have a
 4 perfectly valid privilege objection. However,
 5 if we were to ask them about what their general
 6 practices were regarding what they generally
 7 told clients, that would not be subject to a
 8 privilege objection. So it has to do with the
 9 kinds of things that were submitted to the
 10 patent office, how things were approached, how
 11 clients were generally handled. Those were the
 12 kinds of things that were in mind. Those relate
 13 to mostly to the invalidity contentions and the
 14 potential inequitable conduct that was brought
 15 up earlier.

16 THE COURT: And inequitable
 17 conduct, is that pleaded?

18 MR. NELSON: It has not been
 19 asserted as a defense in an answer, but it has
 20 been fully disclosed.

21 THE COURT: Okay. I think
 22 unless -- just to tell you, I can't think of a
 23 scenario, unless there's something you think I'm
 24 missing, where -- if an inequitable conduct

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1 defense wasn't pleaded in an operative pleading,
 2 it would be in the case in a way that you could
 3 tell another party to provide discovery about
 4 it. I mean, we have whole satellite litigations
 5 about whether allegations of inequitable conduct
 6 are successfully pleaded in pleadings. I mean,
 7 are you asserting by way of this discovery
 8 dispute motion that you should be able to get
 9 discovery to inequitable conduct even though
 10 it's a currently unpleaded defense?

11 MR. NELSON: Well, at the end of
 12 the day my understanding was -- to answer your
 13 question generally, yes, Your Honor. Maybe not
 14 necessarily --

15 THE COURT: I mean, I say defense.
 16 I mean really it's a claim. Right? You're
 17 making a claim of inequitable conduct, a
 18 counterclaim, I suppose, right? Isn't that how
 19 it would come up here?

20 MR. NELSON: Potentially, yes.

21 THE COURT: You'd be asserting
 22 that inequitable conduct occurred for which
 23 you'd have a burden to prove certain elements,
 24 so yeah, it would have to show up as a

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1 counterclaim in your answer and counterclaims, I
 2 would think. But, okay. Well, putting it aside
 3 for now, though, maybe last question would be
 4 about topic 13. I gather that's asking for
 5 information that Knobbe might have about the
 6 value in part of at least the asserted patent
 7 and/or the other patents at issue. How is that
 8 topic relevant to the claims or defenses at
 9 issue in the case?

10 MR. NELSON: At the end of the
 11 day, Your Honor, this one has two issues. One,
 12 this was one of the ones where we said if
 13 Knobbe, if they agreed Knobbe was not going to
 14 testify about this, we would withdraw. They
 15 didn't agree. So at end of the day we have to
 16 assume that someone from Knobbe will testify
 17 regarding this. Second, Your Honor, this has to
 18 do with whether or not there were any internal
 19 thoughts regarding value and strength, what
 20 aspects of the claims made sense or did not make
 21 sense, what did they believe was previously
 22 known in the art. That's kind of where this is
 23 going.

24 THE COURT: Okay.

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1 MR. NELSON: Again, this would
 2 also potentially relate to any communications
 3 with third parties.

4 THE COURT: So it's not meant to
 5 get to like are you aware of a document that
 6 says that, you know, the claims of the asserted
 7 patent are worth a million dollars. I could see
 8 if there was such a document that might be
 9 relevant to certain damages issues. That's not
 10 what you're getting at here?

11 MR. NELSON: If such a document
 12 existed and was given to a third party and
 13 discussed with a third party, that would be
 14 potentially relevant, but otherwise it's
 15 anything regarding the internal thoughts of the
 16 attorneys of the strength or weaknesses of the
 17 patent.

18 THE COURT: Okay. All right. Mr.
 19 Nelson, anything further about these four topics
 20 before we move on to the other two?

21 MR. NELSON: Just a quick note,
 22 Your Honor. I got the impression you were not
 23 terribly fond of the inequitable conduct related
 24 argument. My only request is should you decide

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1 to deny that or deny it in part, my only request
 2 is that it's without prejudice should we later
 3 decide to come back, should we plead and
 4 potentially bring such a claim because we would
 5 then need to depose the prosecuting attorney.

6 THE COURT: Sure. I mean, 37
 7 nothing that I'll say today with regard to the
 8 discovery dispute is meant to preclude from, if
 9 I wish to, to add an inequitable conduct claim
 10 into the case by way of amendment to your
 11 pleadings if that's what you choose to do. I
 12 mean, you know, I have no idea. But my only --
 13 the only thing I was trying to get across is the
 14 way I'm thinking of it is I can't understand
 15 how, as a way of establishing why certain of
 16 these topics will provide relevant evidence to
 17 the claims or defenses at issue in the case, it
 18 could be claimed that the topics would do so
 19 because they provide evidence of inequitable
 20 conduct if that is a claim that the defendants
 21 are currently not making. And I was inviting
 22 you, if there's something I'm missing about that
 23 thought process, feel free to let me know, but
 24 otherwise that was my thought process. But

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 1 nothing I'm saying here today is meant to
 2 preclude you from attempting to plead a
 3 counterclaim of inequitable conduct in the case
 4 at all. So I'm happy to put that on the record.

5 Okay. Ms. Burgess, let me turn
 6 back to you and I would like to speak briefly
 7 about the other two topics at issue, but is
 8 there anything you want to add by way of
 9 response to what you heard about the topics
 10 we've just been discussing.

11 MS. BURGESS: Yes, Your Honor. A
 12 couple quick points on those and thank you for
 13 letting me go back to address those. So there
 14 was some discussion with respect to I believe
 15 topic 2 on what Nvidia is truly seeking with
 16 respect to prosecution of the asserted patent
 17 and the related patents. And a couple points
 18 they raised was first, it sounds like they don't
 19 think the individuals who have been noticed
 20 would necessarily be prepared to address the
 21 file history if they don't review it for the
 22 deposition. And so the 30(b)(6) I guess is
 23 intended to ensure that those individuals do, in
 24 fact, go back and review and try to refresh

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1 their recollection on what happened. And my
 2 thought to that is, again, I think the
 3 prosecution of the asserted patent, the way it's
 4 worded is still overly broad, right? I mean, if
 5 all Nvidia wants is for the attorneys to go back
 6 through and read the file history, you know,
 7 that's one thing. But if they actually want
 8 them to be prepared to discuss the prosecution
 9 of the asserted patent, that would require more
 10 preparation than just going back and reviewing
 11 the file history. And I think we would need to
 12 know what particular topics those individuals
 13 need to be prepared on, because --

14 THE COURT: I'm sorry, Ms. 39
 15 Burgess. Do you know how many other Knobbe
 16 attorneys other than the two individuals who are
 17 going to be deposed were involved in prosecution
 18 of the U.S. family?

19 MS. BURGESS: Your Honor, I don't
 20 have the exact number of how many attorneys have
 21 billed to that matter, but I will say it's not
 22 going to be large. We're not approaching
 23 anywhere near the 300 attorneys. So the firm is
 24 going to be probably under 10 individuals that

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1 were substantively involved, but again, I can't
 2 tell you for sure because I haven't checked
 3 billing records and I apologize for that.

4 THE COURT: And when are Mr.
 5 Smemo and Mr. Cannon's deposition scheduled or
 6 have they be scheduled?

7 MS. BURGESS: Your Honor, we
 8 haven't scheduled them. And we haven't
 9 scheduled them because we have already indicated
 10 to Nvidia that they would, in fact, be the
 11 30(b)(6) designess, one or more of them would be
 12 the 30(b)(6) designees depending on the topic,
 13 so we would like to just do it once and so
 14 Nvidia agreed -- we agreed that they could take
 15 those, you know, outside the time frame for fact
 16 discovery so that we could resolve the dispute
 17 on the 30(b)(6) topics before we have those
 18 depositions.

19 THE COURT: Okay. All right. Let
 20 me let you finish out with regard topics 2, 9,
 21 10 and 13.

22 MS. BURGESS: Okay. So with
 23 respect to topic 2, in addition to
 24 understanding, you know, kind of what particular

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1 aspects of the prosecution Nvidia wants the
 2 individuals involved in prosecuting to refresh
 3 on and be ready to address, Mr. Nelson also
 4 mentioned, you know, the prior art in the final
 5 invalidity contentions. And so not all of that
 6 prior art in those invalidity contentions is
 7 necessarily reflected in the prosecution of the
 8 patents, of the patent in suit or the family, so
 9 again, it's not clear. You know, it sounds like
 10 during this conversation he's expanded it beyond
 11 just the prosecution now to their knowledge
 12 about specific pieces of prior art that may or
 13 may not have been contemplated during
 14 prosecution. So I -- I wanted to note that on
 15 topic 2.

16 On topic 10, Mr. Nelson mentioned
 17 that they really want to understand, again, what
 18 was known and disclosed or not disclosed and
 19 kind of the general practice of the attorneys
 20 involved in prosecution. And again, I think the
 21 topic itself, topic 10, does go beyond that.
 22 Right? Topic 10 is all practices of all
 23 attorneys regarding prosecutions and that could
 24 involve policies or practices involving when to

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1 interview or whether they do an in-person or not
 2 in-person. There's a lot of policies or
 3 practices that individual attorneys may have and
 4 the topic again is not limited to what Mr.
 5 Nelson was stating during the argument as to
 6 what, what types of things Nvidia might want to
 7 ask about. And so again, in order to prepare
 8 any witnesses, we would need to know what
 9 practices and policies in particular for those
 10 attorneys involved in the prosecution of the
 11 asserted patent are at issue and the topic
 12 simply doesn't provide that level of
 13 specificity.

14 And then the last one I wanted to
 15 make with respect to topic 13, Nvidia has
 16 indicated that they have to assume that Knobbe
 17 is going to testify because Knobbe does not want
 18 to stipulate that they would not testify. And I
 19 think that's backwards. I think Nvidia has the
 20 burden of providing topics that are proportional
 21 to the needs of the case, that are relevant,
 22 that are proportional and that are stated with
 23 reasonable particularity. And if they haven't
 24 done so, you know, Knobbe does not have to

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1 respond to those topics and also Knobbe does not
 2 have to agree not to testify at trial. We have
 3 noted on the record that we don't intend to
 4 present testimony at trial, but there's a lot of
 5 hypotheticals as to what could happen at trial
 6 that we can't necessarily anticipate at this
 7 point and so we're very hesitant to stipulate to
 8 something when Nvidia, particularly during the
 9 negotiations on these topics, was reluctant to
 10 provide any level of specificity on the
 11 particular allegations and I think still is
 12 lacking some particularity in terms of what
 13 they're really looking for. So I think it's
 14 improper to have Knobbe stipulate, make some
 15 kind of broad stipulation on something they
 16 won't do in the context of a hypothetical trial
 17 when Nvidia has been kind of hiding the ball on
 18 what they think ultimately may happen at trial
 19 and what their allegations may actually be.
 20 Plans to testify at trial, I don't think that's
 21 a fair assumption. Because we won't stipulate
 22 that we won't. I just don't think that's an
 23 appropriate approach to the topic.

24 I think Nvidia should be required
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1 to serve topics with reasonable particularity,
 2 that are proper topics that can be responded to
 3 and they haven't done that.

4 THE COURT: Okay. And let's just
 5 talk briefly about the other two topics which
 6 are topics 3 and topic 8A. With regard to topic
 7 3, I think I understand from the defendant's
 8 letter that's on the attachments why it is that
 9 MGT generally, the toolkit and the patents that
 10 describe it can be relevant and are relevant to
 11 the asserted patent and the origin of the
 12 inventions described therein. So my guess is on
 13 topic 3 it's just the word prosecution that --
 14 my guess is you're not going to say MGT as a
 15 topic area is necessarily irrelevant, it's just
 16 the breadth of the word prosecution. Is that
 17 what you're saying?

18 MS. BURGESS: Your Honor, that's
 19 correct. And so in the underlying litigation
 20 the plaintiff, ACS, has provided discovery
 21 regarding the MGT product or I guess product
 22 development, and you know, produced the patent,
 23 but the prosecution of those patents, we simply
 24 don't understand and I don't think Nvidia has

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1 really ever explained how the prosecution of
 2 those patents relates to any issue in the case.
 3 So Nvidia's justification is that there's a
 4 statement by one of the named inventors that the
 5 technology in the asserted patent is an
 6 improvement to MGT, the MGT technology, but we
 7 don't see how that justifies broad, undefined
 8 discovery regarding the entirety of the
 9 prosecution of the MGT patents. I mean, there
 10 certainly are other technologies out there that
 11 the claimed inventions may improve upon, but I
 12 don't think that makes the prosecution of any
 13 patents related to any technology that the
 14 invention may improve upon relevant and
 15 proportional to this case.

16 THE COURT: Okay. And then with
 17 regard to 8A, it looks like that -- and your
 18 objection, it looks like that in another
 19 proceeding, the plaintiff did say look, here are
 20 certain facts that we think are actually
 21 relevant to objective indicia or secondary
 22 consideration. Those facts we're putting out
 23 there into the world, we think they're important
 24 to that issue, they're known, so what if, if the

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1 request was focused on the facts that plaintiff
 2 had previously noted that it itself believed
 3 were relevant to secondary consideration,
 4 wouldn't that be a way of avoiding the concern
 5 you raise in your letters about you having to
 6 guess about, you know, making legal conclusions
 7 about secondary considerations of what might be
 8 relevant?

9 MS. BURGESS: So Your Honor, I
 10 don't disagree that some information regarding
 11 the factual underpinning or secondary
 12 considerations could be relevant, but if we're
 13 talking about facts, number one, it's not clear
 14 why these wouldn't be topics for the parties to
 15 the litigation versus the attorneys representing
 16 ACS. You know, Knobbe Martens as the attorneys
 17 representing ACS of course submit factual
 18 information and legal arguments on behalf of
 19 clients, but it's not clear how Knobbe, why
 20 Knobbe as a law firm would be the appropriate
 21 party to provide testimony regarding those
 22 secondary considerations. And it does appear to
 23 require a level of legal analysis, even to
 24 prepare somebody to respond, because it's

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1 talking about any secondary considerations of
 2 the asserted patent and any related patents. So
 3 we would have to then consider, okay, what other
 4 possible secondary considerations information
 5 would Knobbe have that is perhaps not in the IPR
 6 information that we submitted and prepare
 7 somebody on that.

8 THE COURT: Ms. Burgess --
 9 MS. BURGESS: Because the topic is
 10 not limited to just -- I'm sorry, go ahead.

11 THE COURT: Ms. Burgess, at least
 12 in your experience, have you seen a patent case
 13 where outside of the inequitable conduct context
 14 a party has sought deposition testimony from a
 15 law firm who is in some way kind of related to
 16 the patents in suit? Like how rare do you think
 17 this is?

18 MS. BURGESS: Your Honor, I have
 19 not been involved in any cases -- and I've been
 20 involved in several -- responding to various
 21 subpoenas of Knobbe because Knobbe does a lot of
 22 patent prosecution, and I can't recall ever
 23 being involved in a subpoena where they wanted
 24 to, to get testimony from Knobbe essentially

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1 regarding, regarding validity of the patents,
 2 not just the prosecution of the patents. And
 3 I've never had a case where as litigation
 4 counsel Knobbe has been subpoenaed to provide
 5 testimony in a case based on their role as
 6 litigation counsel in that case. But I would
 7 say it's rare from my personal experience. I'm
 8 not saying it doesn't happen, but I'm not aware
 9 of it happening ever or frequently.

10 THE COURT: Right. I mean, again,
 11 to me it seems like is it theoretically possible
 12 that an attorney for, you know, who prosecuted
 13 certain patents might have relevant information
 14 about say a fact relating to secondary
 15 considerations? Seems like it's very possible.
 16 Just don't know -- it does often seem like there
 17 are other ways to get that info and I don't know
 18 how often parties seek it through these means
 19 and I guess that's what you're saying. All
 20 right. Ms. Burgess, I want to try to conclude
 21 so is there anything further on these two topics
 22 before I turn back to Mr. Nelson?

23 MS. BURGESS: Yeah. So Your
 24 Honor, your commentary did make me think of one
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1 thing. I have seen instances where during
 2 prosecution the prosecuting attorney has
 3 provided some secondary considerations type of
 4 information to the patent office to overcome a
 5 rejection. So, for example, I've seen, you know
 6 more statements by the invent -- sworn
 7 statements by the inventor to counsel in favor
 8 of permitting the claim. So I guess I can see a
 9 context where a prosecution attorney might have
 10 some information regarding secondary
 11 considerations of nonobviousness, but it doesn't
 12 sound like that's what Nvidia is looking for in
 13 this case. And so -- but I just wanted to
 14 amend, because I just thought of that.

15 THE COURT: Okay. Thank you. All
 16 right. Mr. Nelson, back to you. Just focusing
 17 on, again, to topic 3 and 8A, maybe starting
 18 with 3, is there more you can tell me about what
 19 in particular you're really likely to be focused
 20 on with these questions?

21 MR. NELSON: Yes, Your Honor. At
 22 the end of the day, the reason we are focused on
 23 this is to put it in perspective is, as you
 24 pointed out, the named inventor stated that this

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 1 one specific piece of technology was a precursor
 2 to the technology claimed in the asserted
 3 patent. That's why we're so interested in this
 4 one particular piece of technology. And again,
 5 we're interested in -- there were numerous
 6 discussions had with the examiner during the
 7 prosecution. We're interested in several
 8 statements that were made in the patents and
 9 when they were added and whether or not they
 10 relate back to -- and when they relate back to
 11 some things. At the end of the day, there are
 12 several different aspects to the MGT patents
 13 that we're interested in.

14 THE COURT: Okay. And with regard
 15 to the secondary considerations, you have to try
 16 to convince me that, Judge, look, this is not an
 17 overly broad topic that requires Knobbe to draw
 18 some kind of legal conclusions about what might
 19 be relevant secondary considerations and what
 20 facts might possibly be asked about, but there's
 21 some more limited subset of information that you
 22 think the topic kind of more fairly calls for
 23 that they should be able to provide.

24 MR. NELSON: The things -- we're
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1 interested in -- yeah, to put it in perspective,
 2 we know that the two attorneys who were the
 3 prosecuting attorneys were also kind of like
 4 outside counsel in terms of their work related
 5 to licensing and other sorts of activities. We
 6 are interested in kind of whether or not the
 7 claims were -- I mean, in addition to being
 8 improvements upon it, were they improvements
 9 upon any other technology or allegedly
 10 improvements upon any other technology? Was
 11 there any skepticism? Were there people who
 12 were not skeptical. It's hard to say. I think
 13 one -- one theme that's kind of been throughout
 14 this conversation today is -- I think one of the
 15 main themes that have been going on, kind of the
 16 fear that Knobbe will not be prepared for the
 17 deposition. I think that is kind of the
 18 overarching theme that we've been having today.
 19 And the theoretical theme has been that they
 20 will not be prepared and that we will then seek
 21 to compel an additional deposition. And I think
 22 that theme has kind of permeated the
 23 conversation to the point that the concern is we
 24 want to narrow everything down to the point that

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1 they can specifically prepare Knobbe witnesses
 2 to answer exact questions, in other words, give
 3 Knobbe the road map of things that Nvidia wants
 4 to ask and then have them prepare on those
 5 specific individual items so that there's no
 6 chance of us moving to compel an additional
 7 deposition. I think that's a little unfair,
 8 given that, again, we have some thoughts, but
 9 it's hard for us to be able to fully explain
 10 everything without knowing what they're going to
 11 say.

12 Also, just -- I also just want to
 13 make clear that we are not seeking information
 14 from litigation counsel. We are seeking
 15 information solely from prosecution counsel.
 16 That seems to be a divide that has not been as
 17 clearly articulated as I hoped it would be.

18 THE COURT: Okay. All right.
 19 Thank you, Mr. Nelson. Counsel, I think I have
 20 enough. We've been going for a little over an
 21 hour. So let me go ahead and just give you a
 22 decision and also try to give you some guidance
 23 more broadly on this issue. And so because I'm
 24 going to provide a decision here, the transcript

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1 of today's teleconference will serve as the
2 substance of the Court's order.

3 And that is that with the caveat
4 that I'll give in just a second, I'm going
5 to grant Knobbe's request for a protective order
6 and to quash and deny the defendant's cross
7 motion to compel the information contained in
8 the rest of the disputed topics. Because, as
9 they are currently written, I believe that the
10 topics are overbroad and that they're breadth,
11 in light of the burden that it would put on
12 Knobbe to prepare witnesses to testify about
13 them, would outweigh any prospect for obtaining
14 relevant evidence that the topics might provide.
15 And so I'm going to grant Knobbe's request and
16 deny defendants.

17 That said, I believe for reasons
18 I'll explain in just a moment, I think it's
19 probably the case that were defendants to edit
20 their rule 30(b)(6) subpoena and narrow it
21 considerably, that they probably could make a
22 case that some 30(b)(6) testimony with regard to
23 a narrower group of topics could be both
24 relevant to the claims and defenses at issue in

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1 the case and proportional.

2 And so having said that, let me
3 try to provide some additional guidance based on
4 what I've heard from the parties. The first
5 thing I'd say, as we noted in the letters, a
6 theme of plaintiffs was that it's unusual for a
7 party in defendant's shoes to be seeking the
8 kind of information that's being sought in this
9 subpoena and by the subpoena, by the way, I'm
10 talking about the subpoena, rule 30(b)(6)
11 subpoena found at exhibit 3 of plaintiff's
12 initial letter, unusual for that type of
13 information to be sought from a firm, a law firm
14 that happened to be prosecution counsel in a
15 case where as here, a claim of inequitable
16 conduct, for example, is not currently pleaded
17 and to me it seems unusual. I can't remember
18 having dealt with it, especially so if two of
19 the individual attorneys who spent the most time
20 prosecuting the U.S. family at issue are going
21 to testify anyway. It does seem unusual. I
22 don't know that I've come across this kind of
23 request. And I think it does create real
24 burdens for Knobbe. There are some number of

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1 other lawyers, sounds like less than 10, but
2 more than just a few who may have worked on
3 prosecution here and there's efforts that have
4 to be undertaken to make sure that the
5 collective knowledge of all those people, even
6 if the subpoena is narrowed to just those
7 people, which I think it would be, it's fairly
8 provided. And the broader that the topics are,
9 the greater that burden. Even though it's
10 unusual and as written would be burdensome, I
11 can't say it's improper or impossible that rule
12 30(b)(6) subpoena of the prosecuting firm in a
13 case like this couldn't be appropriate if it was
14 narrowly tailored to get to relevant evidence.
15 It does strike me that prosecuting attorneys,
16 other than the two that spent the most time on
17 prosecution, could have knowledge of relevant
18 facts relating to claims or defenses at issue in
19 the case, particularly as to the asserted
20 patent. And it's possible that, you know, the
21 questions about those facts may not necessarily
22 be, been withheld via privilege assertion. And
23 so I think it's possible to reframe the
24 subpoena. It's just that as it currently

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1 stands, I think it's an unusual subpoena that is
2 too overbroad.

3 In terms of the particular topics
4 we discussed, just a few notes. Topic 2, I
5 agree with plaintiff that reference to the
6 prosecution writ large of these seven patents or
7 patent applications seems overbroad. In
8 discussion today it sounds as if the defendant's
9 side is interested in particular aspects, quote
10 unquote, of that prosecution history, perhaps
11 including having the deponents be knowledgeable
12 about the file history. There may be ways to
13 narrow that topic that renders it
14 non-objectionable.

15 When we went to topic 9, which
16 again, as plaintiff I think rightly notes, I say
17 plaintiff, but I mean Knobbe rightly notes,
18 calls for the witnesses to be prepared about all
19 prior art in any way related to any of these
20 seven patents or patent applications, that is
21 very broad. In discussion here today it sounds
22 like the defendant's side may be particularly
23 focused on 10 to 15 pieces of prior art or
24 asserted prior art that they think are relevant

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1 to the claims or defends at issue in the case.
 2 That could be a different story.
 3 Topic 10, I'm not sure how
 4 Knobbe's practices or policies regarding
 5 prosecution are relevant to what's in the case
 6 right now. I'm not sure that I heard a great
 7 explanation on today's call. It could be that
 8 they are, but hard for me to say.

9 And with regard to topic 13, there
 10 I don't think what I've heard today suggests
 11 that topic is relevant. In fact, when I asked
 12 about relevance, defendant's side simply said
 13 well, we're asking about it simply because the
 14 plaintiffs haven't told us they won't testify
 15 about it. That's really not a good assertion as
 16 to relevance.

17 With regard to topic 3, as I've
 18 suggested on the call, from what I understand I
 19 do think there are facts related to MGT and
 20 probably related to the patents that incorporate
 21 MGT and its technology. That could be relevant
 22 to the inventions related to the asserted patent
 23 and/or claims and -- claims or defenses at issue
 24 in this case. I'm not sure that every fact

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 1 relating to the prosecution of the MGT patents
 2 writ large is still relevant. And again, I
 3 think there may be subcategories of this
 4 information that on our call today the defense
 5 has said they're really after that might be a
 6 way to really narrow this request that could
 7 make the subpoena make sense.

8 Similarly as to secondly
 9 considerations, I think again, it may be
 10 possible that as to particular facts that have
 11 been cited in the past by plaintiffs as being
 12 assertedly relevant to that subject matter, if
 13 the topic was narrowed to focus on those
 14 particular facts, again, there may be a way to
 15 frame this topic in a way that can survive
 16 objection.

17 So it's all to say that for now
 18 I'm granting Knobbe's motion and denying
 19 plaintiff's. I'm sorry, denying defendant's
 20 motion. I'm suggesting that defendants may want
 21 to think about significantly narrowing the
 22 topics at issue and providing a revised proposed
 23 subpoena and I would really suggest that the
 24 parties maybe do some meeting and conferring

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1 before that happens so that they can try to work
 2 out a sufficiently narrowed set of topics that
 3 could make sense here.
 4 Lastly, I'll just say, I agree
 5 with defendants that, you know, it's not right
 6 to make one side give up an absolute detailed
 7 road map of all the questions they're going to
 8 ask during a rule 30(b)(6) deposition, but
 9 there's also a happy medium between the breadth
 10 of the subpoena here and something that is
 11 actually appropriately tailored to get to
 12 relevant information in a non-burdensome way.
 13 And I think in order to hit that middle ground,
 14 defendants are going to have to provide at least
 15 some more specific information about the topics
 16 they're interested in, even if it doesn't amount
 17 to a detailed road map of all the questions
 18 they're going to have.

19 With all that said, we've been
 20 going for about an hour and 20 minutes and we
 21 need to end our call. I think I've resolved the
 22 motions for now, provided as much guidance as I
 23 can to the parties about what will happen in the
 24 future.

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1 The only other thing I would add
 2 to the parties' benefit as the parties have
 3 seen, I recently issued an order resolving a
 4 number of disputes that have cropped up in the
 5 case in the last couple of months. Going
 6 forward if there are further disputes that can't
 7 be resolved, I'll hear argument about those in
 8 court. I do that because in cases like this
 9 where there is now quite a large number of
 10 disputes that have been presented, it does raise
 11 concerns with the Court about whether the
 12 parties really are sufficiently meeting and
 13 conferring before bringing issues to the Court.
 14 That said, I'll also tell you that I'm doing
 15 that for your benefit as well, because in cases
 16 in which Judge Noreika is involved and this one
 17 in which I've worked on in the past, if the
 18 parties don't do a better job of kind of
 19 appropriately focusing on what issues you really
 20 need to be brought and what don't, I have seen
 21 instances where the parties continue to abuse
 22 the discovery dispute process and that results
 23 in reducing the parties' trial time if they were to
 24 put forward discovery disputes at that stage and

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1 lose. So I'm trying to kind of gently give you
 2 the hint that something is starting to seem a
 3 bit out of whack in terms of the sheer number of
 4 discovery disputes that I'm seeing and to try to
 5 help you make sure that on the one hand the
 6 Court can be here to resolve the disputes that
 7 really need resolving, but on the other hand
 8 that things don't get so out of control that
 9 ultimately it hurts your ability to present your
 10 case going forward. So do with that information
 11 what you will.

12 All right, counsel, with all that
 13 said, unless there's anything further and maybe
 14 I'll pause just to ask is there anything I need
 15 to clarify procedurally before we end our call
 16 today on plaintiff's side, Ms. Burgess?

17 MS. BURGESS: No, Your Honor.
 18 Thank you.

19 THE COURT: Okay. On defendant's
 20 side, Mr. Nelson?

21 MR. NELSON: Two quick points of
 22 clarification, if I could, Your Honor. Firstly,
 23 just -- I just want to ask, I understand you're
 24 saying you're basically saying please re-serve

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1 the subpoena with more specific topics. Is that
 2 kind of what you're basically ordering or you're
 3 suggesting? I just wanted to clarify that.

4 THE COURT: Sure. I mean, what
 5 I've ordered is that the subpoena has been
 6 quashed. A protective order has been issued.
 7 This subpoena that's in exhibit 3 before me,
 8 it's a nullity. It will not be utilized to
 9 obtain testimony. It is significantly
 10 overbroad. It should have never been issued in
 11 the way it was issued. And it has --
 12 unfortunately that overbreadth has created a lot
 13 of litigation that ultimately has involved the
 14 Court's time. So where we currently stand is
 15 that that subpoena is a nullity, but what I'm
 16 suggesting is that the defendant might be able
 17 to submit another subpoena to Knobbe that
 18 revises the proposed topics here to make it much
 19 more sensible, in a way that even if Knobbe did
 20 object, what might ultimately permit testimony
 21 on a 30(b)(6) basis. And I'm trying to give you
 22 some, some hints as to how it is that you might
 23 do that in a way that could both ultimately
 24 allow the defendant to obtain some of this

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1 testimony and ideally to do so in a way that
 2 doesn't involve the Court any further. So I
 3 mean, that was my intent. Is there anything
 4 about that that is unclear?

5 MR. NELSON: No. I just wanted to
 6 clarify that? Did that make sense, Your Honor?
 7 The only concern I have, Your Honor, is I
 8 understand what Your Honor was saying regarding
 9 specific pieces of prior art. My only concern
 10 is topic 12 calls out one of those specific
 11 pieces of prior art and Your Honor granted the
 12 request to quash number 12. Is there a way we
 13 could ask about prior art that Your Honor would
 14 think would be more suited, because I'm
 15 concerned if we were to specifically list out
 16 the prior art under topic 9, we would run into
 17 the same problem that we ran into with topic 12?

18 THE COURT: Well, what I would
 19 offer you is that topic 12, at least the way it
 20 was -- the way it was phrased and in conjunction
 21 with topic 11, appeared to the Court to be
 22 written in a way that it could broadly ask for
 23 information about a general subject matter area
 24 that in some way relates to computing, as

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1 opposed to some much more particularized issue
 2 that may have relevance to the claims or
 3 defenses at issue in the case. If there is a
 4 piece of prior art that in some way is related
 5 to SSTW that is asserted, you know, to be
 6 relevant to the invalidity case here and that
 7 defendants could demonstrate that, it's possible
 8 that that could be a more particularized subject
 9 matter that could be appropriate. So the point
 10 of the Courts's order as to the way topics 11
 11 and 12 appeared to be framed, they appeared to
 12 be broad references to broad categories of
 13 general information about computer related
 14 topics. Which is, seems unusual and not
 15 particularly helpful. So that's what I was
 16 intending to signal with my prior order.

17 MR. NELSON: Understood, Your
 18 Honor. Thank you for the clarification.

19 THE COURT: Okay. All right.
 20 Thanks, counsel. Wish everybody continued
 21 health and safety and we'll go off the record
 22 and end our teleconference today. Take care.

23 (End at 3:26 p.m.)
 24

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5 CERTIFICATE OF REPORTER

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7 I, Stacy M. Ingram, Certified Court Reporter
8 and Notary Public, do hereby certify that the
9 foregoing record, Pages 1 to 65 inclusive, is a true
10 and accurate transcript of my stenographic notes
11 taken on October 11, 2022, in the above-captioned
12 matter.

13

14 IN WITNESS WHEREOF, I have hereunto set my
15 hand and seal this 11th day of October 2022, at
16 Wilmington.

17

18

19 /s/ Stacy M. Ingram

20 Stacy M. Ingram, CCR

21

22

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